



The Pacific Northwest Chapter of the
International Society of
Certified Employee Benefit Specialists



What to Expect from an EBSA Investigation

Wednesday, September 21, 2022 | 10:00 – 11:10 Pacific

Via Webinar - \$0 members / \$10 non-members

Registration link [for members](#) / [for non-members](#)

The Employee Benefits Security Administration (EBSA) vigorously enforces the laws surrounding retirement, health, and other workplace-related benefits. An EBSA investigation can seem daunting. Understanding the investigative process, the basic documents and records that will be reviewed, and the typical emphasis of investigations can help to prepare you for an audit. There is also a Voluntary Fiduciary Correction Program (VFCP) which allows plan officials to correct certain violations before the DOL investigates. Not only does this program avoid DOL investigations, it can also help to avoid penalties, potential litigation, and in some cases certain excise taxes. Our speaker will provide an overview of DOL investigations, delve into the VFCP, and review how to obtain compliance assistance.

Top Take Aways

- Understanding the DOL’s investigation process
- Understanding the DOL’s possible areas of investigation
- Learning how the DOL can help with compliance assistance

Speaker: Andy Cameron, Senior Benefits Advisor | Department of Labor – EBSA

Mr. Andy Cameron advises plan participants, plan sponsors and service providers on a wide range of health and retirement plan issues. Andy regularly speaks to public and private organizations about ACA, HIPAA, COBRA and relevant retirement plan regulations. Andy has worked at EBSA since 2006, serving as both a Benefits Advisor and an Investigator. He has audited health and retirement benefit plans.

Before joining EBSA, Andy worked in human resources for more than 5 years. He obtained the Professional in Human Resources certification from the Human Resources Certification Institute as well as the Competent Toastmaster designation from Toastmasters International. Andy holds a bachelor’s degree in Management from Purdue University, a Certificate in Human Resources from the University of Washington, and a law degree from Seattle University.

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